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III.2/Circ.1
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PORT STATE CONTROL RELATED MATTERS

Process for advancing recommendations to the relevant IMO bodies resulting from the reports of Concentrated Inspection Campaigns (CICs)

1 The Sub-Committee on Implementation of IMO Instruments (III), at its second session (13 to 17 July 2015), approved the process for advancing recommendations to relevant IMO bodies resulting from reports of Concentrated Inspection Campaigns (CICs).

2 The Sub-Committee agreed to bring the above-mentioned process, as set out in the annex, to the attention of Member Governments, subject to endorsement by the Marine Environment Protection Committee at its sixty-ninth session and the Maritime Safety Committee at its ninety-sixth session.

3 Member Governments and port State control regimes are invited to bring its content to the attention of all concerned.

ANNEX

PROCESS FOR ADVANCING RECOMMENDATIONS TO RELEVANT IMO BODIES RESULTING FROM REPORTS OF CONCENTRATED INSPECTION CAMPAIGNS (CICs)

Background

1 The Sub-Committee on Flag State Implementation (FSI), at its nineteenth session (21 to 25 February 2011), agreed that, at future sessions, the outcome of concentrated inspection campaigns (CICs) would be best reviewed by a working/drafting group, which would be tasked to prepare appropriate material for referral to the relevant sub-committees.

2 FSI 20 invited port State control (PSC) regimes to conduct CICs, in cooperation with other PSC regimes, and to continue providing the Sub-Committee with information on the outcome of CICs in the agreed reporting format as set out in annex 4 to document FSI 20/WP.5 and recommendations, together with supporting material, which could be referred to the relevant IMO bodies for further consideration.

3 In addition to its value to individual flag States, the data coming out from CICs can also be a valuable measurement tool of the effectiveness of the implementation of IMO Instruments.

4 This document is intended to provide a process for handling reports and recommendations arising from CICs to ensure that they receive appropriate consideration, prioritization and action within the Organization.

5 This document is further intended to provide a mechanism for developing feedback to regional PSC regimes on the CIC data and the reporting format in light of experience gained through submissions to III to support the goals of paragraph 4 above.

Important elements of the CIC Report

6 To ensure that the results of a CIC are most useful to the Organization, reports on CICs need to be structured to include a section containing observations and recommendations relevant to the implementation of IMO instruments, along with supporting evidence. This information would normally be developed within the PSC regime by the coordinator of the CIC, based on analysis of the data gathered during the CIC.

7 In assessing the results of the CIC and in formulating the observations and recommendations, the PSC regime should attempt to identify trends, possible root causes of non-compliance and suggest potential improvements.

Submission of documents

8 PSC regimes are invited to submit the full CIC report, including observations and recommendations, to the Sub-Committee on Implementation of IMO Instruments (III) for information.

9 If as a result of the CIC assessment, the PSC regime considers that the matter requires action of IMO, the PSC regime or its member Authorities may submit a substantive document to the III Sub-Committee.

- 10 This substantive document should not repeat the report, but should rather:
- .1 refer to the CIC report submitted in accordance with paragraph 8 above;
 - .2 summarize the data derived from statistical analysis of the CIC;
 - .3 outline the specific shortcomings or non-compliance issues identified in the analysis of the data of the CIC, including supporting material to demonstrate this;
 - .4 identify the possible improvements and make recommendations for specific action in relation to the relevant IMO Instrument; and
 - .5 propose that the III Sub-Committee invite a specific committee to consider the recommendation in subparagraph 10.3.
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